The Next Generation 9-1-1 Guide for 9-1-1 Authorities A NENA Resource Document



f 🔰 911nena911

Next Generation 9-1-1 Guide for 9-1-1 Authorities



NENA NG9-1-1 Guide for 9-1-1 Authorities

NENA-REF-005.1-2020 Development Steering Council Approval: 04/21/2020 Next Scheduled Review Date: 07/21/2021

Published by NENA Printed in USA



NENA: The 9-1-1 Association improves 9-1-1 through research, standards development, training, education, outreach, and advocacy. Our vision is a public made safer and more secure through universally available state-of-the-art 9-1-1 systems and better-trained 9-1-1 professionals. Learn more at <u>nena.org</u>.

Intellectual Property Rights (IPR) Policy

NOTE – The user's attention is called to the possibility that compliance with this document may require use of an invention covered by patent rights. By publication of this document, NENA takes no position with respect to the validity of any such claim(s) or of any patent rights in connection therewith. If a patent holder has filed a statement of willingness to grant a license under these rights on reasonable and nondiscriminatory terms and conditions to applicants desiring to obtain such a license, then details may be obtained from NENA by contacting the Committee Resource Manager identified on NENA's website at www.nena.org/ipr.

Consistent with the NENA IPR Policy, available at <u>www.nena.org/ipr</u>, NENA invites any interested party to bring to its attention any copyrights, patents or patent applications, or other proprietary rights that may cover technology that may be required to implement any standards referenced by this document or to implement or follow any recommend best practices, procedures or architectures contained herein.

Please address the information to:

National Emergency Number Association 1700 Diagonal Rd, Suite 500 Alexandria, VA 22314 202-466-4911 or <u>commleadership@nena.org</u>

Reason for Issue/Reissue

NENA reserves the right to modify this document. Upon revision, the reason(s) will be provided in the table below.

Doc #	Approval Date	Reason for Change
NENA-REF-005.1-2020	04/21/2020	Initial Document

04/21/2020

Page 2 of 56



Table of Contents

INTEL	LECTUAL PROPERTY RIGHTS (IPR) POLICY	2
REAS	ON FOR ISSUE/REISSUE	2
FORV	/ARD	5
СНАР	TER 1 INTRODUCTION TO NEXT GENERATION 9-1-1	7
A. E	Benefits of NG9-1-1	8
1.	Primary System Benefits of NG9-1-1	9
2.	Specific Examples of Expected Benefits of NG9-1-1	
В. (Соммоn NG9-1-1 Мутнs (і.е., What NG9-1-1 іs NOT)	13
C. I	MPLEMENTATION CHALLENGES	14
СНАР	TER 2 TECHNOLOGY	
A. 5	Session Initiation Protocol	22
1.	Call Flow Diagrams	23
B. \	WHAT IS AN ESINET?	
	TER 3 BUILDING BLOCKS	
	NTERFACES	
1.	Data Formats	
	UNCTIONAL ELEMENTS	
1.	Border Control Function	
2.	Emergency Call Routing Function	
3.	Emergency Services Routing Proxy	
а.	Policy Routing Function	
	Location Validation Function	
4.	Location Information Server	
a.		
b.	Additional Data Repository (ADR)	
04/2	1/2020	Page 3 of 56



Page 3 of 56

С.	Identity Searchable -Additional Data Repository	41
5.	Legacy Network Gateway	41
6.	Legacy PSAP Gateway	42
7.	Call Handling Function	42
8.	Logging Service	42
a.	Event Logging	43
b.	Unique NENA I dentifiers	43
С.	Media Recording	43
d.	Search, Retrieval and Playback	43
C. I	NTERFACES AND PROTOCOLS	44
1.	Databases	45
2.	Security	45
3.	Human process	45
CHAP	TER 4 EXPANDING 9-1-1 SERVICE TOWARD NG9-1-1 EVOLUTION	46
A. I	NTERIM SMS TEXT-TO- 9-1-1	46
7.	TTY Transition to Real Time Text	47
B. C	Other Types of Media	48
C. F	FIRSTNET AND ITS RELATIONSHIP TO 9-1-1	49
APPEN	NDIX A: GLOSSARY	51
APPEN	NDIX B: RECOMMENDED READING AND REFERENCES	52
ACKN	OWLEDGEMENTS	55

Page 4 of 56



- 1 Forward
- 2 This guide is intended to provide a high-level overview of the components of Next
- 3 Generation 9-1-1 (NG9-1-1) for 9-1-1 Authorities. To effectively use this document, the
- 4 user should have a basic initial understanding of 9-1-1. An appendix/reading list
- 5 containing the NENA standards referenced in this document is included at the end of
- 6 the document.
- 7 Currently, there is a national and international effort to replace existing legacy Public
- 8 Safety networks including the 9-1-1 networks, land mobile radio networks, and
- 9 responder wireless networks. Public expectations and technology advancements
- 10 necessitate the replacement of existing 9-1-1 networks. The public expects to
- 11 communicate with 9-1-1 in the same way it communicates with others, such as voice,
- 12 video, text and pictures. Nationwide, current 9-1-1 networks use equipment that is in
- 13 some cases over 50 years old, limiting the ability to support anything beyond voice calls
- 14 and TTY over the voice network.
- 15 For the 9-1-1 networks, upgrading to NG9-1-1 enables multimedia interaction between
- 16 an emergency caller and the Public Safety Answering Point (PSAP) Telecommunicators.
- 17 The technology to be implemented for the NG9-1-1 network is built on the i3 Standard
- 18 [4] developed by NENA. The i3 architecture is Internet Protocol (IP) based, with
- 19 gateways for transition. The i3 standard includes a defined set of software and
- 20 hardware functional elements, as well as protocols and interfaces. This architecture is a
- network of networks that cities, counties and states are deploying as the needs arise
- 22 and as funding becomes available.
- It is NENA's vision to connect to other emergency services networks and resources, for
 example FirstNet.
- 25 Over the past couple of years, several members of the 9-1-1 community expressed
- concern that they didn't understand NG9-1-1 or the NENA standards defining it. A need
- was identified for an easy to understand guide that introduces an overview of NG9-1-1,
- including the benefits, implementation challenges, and some of the most common
- 29 myths. In this document, the technology involved in NG9-1-1 is introduced with high
- 30 level diagrams depicting the current E 9-1-1 call flow, transitional NG9-1-1 call flow and
- **31** full NG9-1-1 call flow.
- 32 The components and building blocks of NG9-1-1 are presented at a high level. The
- intention is to provide 9-1-1 Authorities with an easy to understand explanation along
- 34 with links to the specifications documents if additional detail is desired.



Page 5 of 56

- 35 Finally, information is provided on some of the transitional services, such as text-to-
- **36** 9-1-1, available today along with a brief explanation of FirstNet.

37

04/21/2020



Page 6 of 56

38 Chapter 1 Introduction to Next Generation 9-1-139

This document is an educational resource that provides 40 guidance regarding what NG9-1-1*is*, and what it *is not*, 41 along with high-level explanations of the various 42 43 components of NG9-1-1. This document is not intended to provide instructions on how to implement and maintain 44 NG9-1-1. In addition, a recommended reading list of other 45 NENA documents and standards is provided as Appendix B. 46 The evolution of emergency calling beyond traditional voice 47 48 9-1-1 calls highlights that current E 9-1-1 systems are no longer able to support the needs of the future. As each new 49 communications method was introduced, the legacy 9-1-1 50 system struggled to accommodate them. Wireless, VoIP and 51 text-to- 9-1-1 have all been backwards engineered to work 52 within existing 9-1-1 systems. In NG9-1-1, IP networks 53 54 referred to as an Emergency Services IP Network (ESInet), replace existing narrowband, circuit switched 9-1-1 55 networks which carry only voice, and very limited data. 56 Existing E 9-1-1 networks do not support such things as 57 real-time text messages for emergencies, images and video 58 (including support for American Sign Language users), and 59 60 easy access to additional data such as telematics, building 61 plans and medical information, over a common data network. 62 63 NG9-1-1 Core Services provide the databases and location-64 based routing functionality that replace legacy automatic 65

- 66 location identification (ALI) databases and selective routing.
- 67 Seamless support of communications and data transfers
- **68** requires a highly standardized system. The NG9-1-1 system
- 69 enables interoperability across county, state, and
- 70 international borders, as well as across emergency response
- 71 professions and agencies, including but not limited to
- 72 traditional PSAPs, poison control centers, trauma centers,
- 73 Coast Guard, and disaster management centers.
- 74

04/21/2020



Page 7 of 56

© Copyright 2020 National Emergency Number Association, Inc.

ANALOGY

NG9-1-1 terminology relies on very technical concepts, which can be difficult for lay people to understand. An analogy may help in comprehension of some concepts which follow in this document. Think of NG9-1-1 as a transportation system. The ESInet is the roadway; the NG9-1-1 Core Services (NGCS) are the traffic control devices, rules and laws which govern traffic flow; and the vehicle occupants are the data being transported (calls, texts, call data, etc.).

NENA's i3 workgroup (WG) defines those Core Services, or traffic control devices, as:

- 76 Emergency Services Routing Proxy (ESRP); Emergency Call Routing Function (ECRF);
- **77** Location Validation Function (LVF); Border Control Function (BCF); Bridging; Policy
- 78 Store; Logging Services; and typical IP service such as Domain Name System (DNS)
- **79** and Dynamic Host Configuration Protocol (DHCP). More information on these core
- 80 services can be found in Chapter 3 Technology of this document.
- 81
- 82 A. Benefits of NG9-1-1

83 NG9-1-1 provides many benefits to 9-1-1. One important benefit is equal access for

- B4 Deaf, hard of hearing or speech impaired individuals. With NG9-1-1, for the first time inB5 history everyone will be able to access 9-1-1 in the same way.
- 86 NG9-1-1 allows PSAPs, especially smaller PSAPs that might not have had access to
- 87 advanced services, to share services. For example, it may no longer be necessary for
- every PSAP to have its own logging and backroom 9-1-1 call handling equipment. Those
- 89 applications may now be procured at a regional or statewide level and utilized by all
- 90 PSAPs on the network because of the data sharing capabilities that NG9-1-1 introduces.

NG9-1-1 helps us meet the public's changing

expectations of how they want to communicate with 9-1-1.

91

- 92 NG9-1-1 is software and data driven. This new approach to utilize data differently
- 93 provides flexible access to valuable information about a call, caller, or location that was
- 94 previously unavailable to PSAPs and First Responders. The presentation of the new
- **95** types of information can be customized through software and the goal of using
- common off the shelf (COTS) hardware to meet an agency's specific needs.
- 97 Understanding the purposes, interactions, and requirements of the NG9-1-1 databases
- allows agencies to make policy and quality control adjustments before moving into
- **99** NG9-1-1.
- 100 NG9-1-1 technology allows 9-1-1 Authorities to program their systems (via policy
- 101 routing rules), to support virtual PSAPs for disaster handling or overflow, if so desired.

04/21/2020



Page 8 of 56

102 For example, in the event of a natural disaster, calls could be routed to a pre-

designated back-up PSAP. Smaller PSAPs, that may not operate 24 X 7, will have theability to automatically send after hours calls to an alternate location.

NG9-1-1 technology also provides a scalable, flexible, mainstream platform that will
 more easily adapt to future capabilities and objectives. NG9-1-1 helps us meet the
 public's changing expectations on how it wants to communicate with 9-1-1. With
 NG9-1-1 we are no longer limited to a (nearly) voice only communications platform. The
 introduction of text, pictures, additional data and videos will result in improved call
 handling outcomes.

111 1. Primary System Benefits of NG9-1-1

Before NG9-1-1, routing 9-1-1 calls relied on either a static address within an ALI

database using pre-determined routing (wireline case), or a proximate cell tower

114 location for mobile calls. The cell tower location may be a considerable distance away

from the caller or the appropriate PSAP, or in a different jurisdictional boundary,

because cell phone coverage areas overlap. While NG9-1-1 doesn't promise to improve

117 location accuracy of calls, it enables the use of more accurate location information

- provided by the wireless carriers. Despite any improvement in location accuracy, PSAPsmust deploy NG9-1-1 systems and networks in order to take full advantage of the
- 120 improved location information.

121 Location based routing, which is a fundamental tenant of NENA's NG9-1-1 design,

enables a call to be routed to the appropriate PSAP for the location of **the caller's**

device, once that position information is made available to the network. This will result

in fewer misrouted calls and therefore a reduced need to transfer calls to a different

- PSAP, since the call was routed to the appropriate PSAP initially. Location based routing
- requires changes to where and how location is stored and acquired in the network. Forend-to-end NG9-1-1, a location database within the access provider network, called a
- 127 End-to-end NG9-1-1, a location database within the access provider network, called a 128 Location Information Server (LIS), is used in the NENA NG9-1-1 system to store and
- make available individual location information used with every emergency call. This LIS
- 130 database provides location via new protocols, including hypertext transfer protocol
- 131 (HTTP), in a standardized format called Presence Information Data Format Location
- 132 Object (PIDF-LO). For wireless emergency calls where the location of a wireless caller
- may change the IMS-based Originating Services Network may deploy a Location
- **134** Retrieval Function (LRF) to make available location information of the wireless caller.

04/21/2020



Page 9 of 56

- **135** 2. Specific Examples of Expected Benefits of NG9-1-1
- **136** a. Geospatial routing

Geospatial routing uses the location of a 9-1-1 caller to determine which PSAP should 137 receive the call based on a map of jurisdictional boundaries. Today, the location of a 138 139 caller using a wireless device is approximated using the cell tower and sector handling 140 the subscriber's call. In areas where more than one PSAP has jurisdiction for the area in a cell site sector, this could result in the call being delivered to a neighboring PSAP 141 necessitating a transfer to the appropriate PSAP once the actual location of the caller is 142 determined. NG9-1-1 provides the opportunity to use the caller's device location to 143 route the call to the appropriate responding PSAP once the wireless carriers are capable 144 145 of providing the location in time for routing.

146 b. Policy Based Routing

147 When the appropriate PSAP to handle a call is unavailable either due to planned

148 downtime (i.e. after hours) or an unplanned outage (i.e. evacuation), policy-based

149 routing can divert calls to a designated backup and/or alternate PSAP(s) to handle the

call. Policy based routing are rules that allow the delivery method of a call to be

151 dynamically altered based on conditions that exist at the time of the call and

- information associated with the call. These conditions may include, but are not limitedto:
- Network status
- PSAP status
- Location of the call
- Type of call (voice, multi-media, text)
- Language preference

For example, a PSAP that is open less than 24 hours a day would use a policy-based rule to reroute its calls when the PSAP is closed. Another example, if one PSAP in a county is handling all text-to- 9-1-1 calls, a policy would be utilized to send all texts to the appropriate PSAP.

163 The subscribers' preferred language and other subscriber and incident information can 164 also be used to deliver the call to the PSAP in the best position to handle the call. For 165 example, a NG9-1-1 call can include information on the subscribers' preferred language.

166 If a region has determined that all calls from people whose first language is French

167 should be handled by a single PSAP, the NG9-1-1 system can use the subscribers'

168 preferred language to implement this call routing.

04/21/2020



Page 10 of 56

- Interoperability 169 C.
- Expanded interconnection options and call transfer capabilities 170
- Allows data sharing across agencies (virtually limitless) 171
- d. **Resiliency and Disaster Preparedness** 172
- Improved redundancy & reliability through more versatile network sharing and 173 management, including, but not limited to virtual PSAPs (Appendix A: Glossary), 174 175 which will allow a wide range of call handling possibilities.
- Mobile PSAPs 176
- Standardized architecture can allow a telecommunicator to go to another PSAP 177 during a disaster and be able to receive & process their own PSAPs calls. 178
- Shared Services 179 e.

One of advantages of an NG9-1-1 network, is that it allows PSAPs to share services 180 such as 9-1-1 call handling equipment, Radio, CAD and Records Management. In the 181 past each PSAP purchased these systems individually and housed the servers in their 182 own backrooms. In NG9-1-1, for example, by utilizing shared services, one agency or 183 vendor can host CAD servers at its facility, where it can be upgraded and maintained as 184 185 needed, and PSAPs on (or with access to) the network can share the same system. This sharing of backroom equipment allows multiple PSAPs to benefit from the services 186 without having to incur the large capital expenditure of creating their own 187 infrastructure. In this instance, other PSAPs can collaborate with the host agency or 188 vendor, share expenses and data, and should be able to maintain their own end user 189 look and feel. 190

191

In Figure 1 Shared Service Diagram below it is understood that the connectivity would 192 be provided via a private managed network that is common to each of the depicted 193 members or via a highly secured connection if the public Internet were used as the 194

transport path. 195

. . .

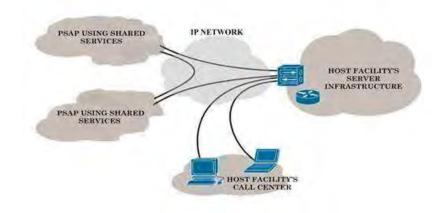
201

04/21/2020

Page 11 of 56



FIGURE 1 SHARED SERVICE DIAGRAM



203

- f. Multimedia, i.e. text, pictures, videos, and files (not just 'Text to
- **205** 9-1-1**')**

NG9-1-1 allows PSAPs to receive text messages on their 9-1-1 call handling equipment
in much the same manner as other 9-1-1 calls today. It also allows a PSAP to receive
not only short message service (SMS) text messages converted to message session
relay protocol (MSRP), but also Real-Time Text (RTT) messages. In addition, IP-based
Originating Service Providers (OSPs) may send pictures, videos and attached files that
may be viewed by the telecommunicator.

212	٠	RTT is much like TTY in a native IP environment, in that it allows a texter to
213		communicate in near real time.
214	٠	J-STD-110 [24] describes an Interim SMS texting solution which became
215		available in 2016. This standard allows SMS/multimedia messaging service
216		(MMS) text to be interworked to NG9-1-1 PSAPs. The interim solution is
217		described in greater detail in Section 5.2 of this document, and in J-STD-110.
218	٠	Additional data, i.e. telematics
219		 Real time data will support being able to access emergency events
220		interactively.

- 221 222
- ~~~
- 223
- 224

04/21/2020



Page 12 of 56

© Copyright 2020 National Emergency Number Association, Inc.

202

B. Common NG9-1-1 Myths (i.e., What NG9-1-1 is NOT)

226



227

The transition to NG9-1-1 can be varied and may include deploying one element at a
time. For example, if a region or PSAP procures and installs an i3 compliant call
handling or CAD system, the call handling system on its own does not constitute
NG9-1-1.

- Users that have enabled text-to- 9-1-1 may be able to send text messages where the
- TCC connects directly to the PSAP or interworks to the PSAP via the ESInet. Yet having
- the text-to-9-1-1 capability on its own does not constitute NG9-1-1.
- An ESInet is not by itself NG9-1-1. An ESInet is an IP network which meets reliability
- and Quality of Service (QoS) transport requirements to support the application
- environments that provide core NG9-1-1 functions and services.

Myth 2 • NG9-1-1 will provide more accurate location for 9-1-1 callers. Not true.

238

- NG9-1-1 does not change or impact the information or accuracy of location being sent
- by the OSPs. Rather NG9-1-1 provides the ability for future expanded location
- information to be provided to 9-1-1 Authorities. While some devices may "know" their
- own locations and be able to report it, not all devices connected to an NG9-1-1 network
- will have this capability. In some cases, for example nomadic VoIP or some wirelesscalls, the location of the device may not be available.

• NG9-1-1 is going to be much cheaper than legacy 9-1-1. Not true.

- 245
- NG9-1-1 will allow for increased sharing of resources and equipment which may result
- in some savings. This is particularly true when NG9-1-1 is simply replicating legacy
- automatic number identification (ANI)/ALI services. However, it may not cost less. The

04/21/2020



Page 13 of 56

- more advanced services which NG9-1-1 provides involve additional databases and
 servers and the cost of operating them. Also, during transition to NG9-1-1 it may
 actually cost more as the current legacy environment will need to be funded while the
 new system is being tested and installed.
- 253 C. Implementation Challenges
- **254** 1. Funding Considerations
- **255** Funding is one of the biggest challenges to implementing NG9-1-1. The transition to
- NG9-1-1 is enhanced or facilitated with some level of statewide funding coordination.
- 257 Statewide coordination can include one statewide NG9-1-1 system or multiple regional
- **258** interconnected NG9-1-1 systems. If no statewide coordination is present, 9-1-1
- Authorities should consider working together to form a regional NG9-1-1 system. Some
- 260 of the options for defining regions might be state level NENA regions, state level
- 261 Emergency Management Areas, Homeland Security Regions, or other predefined
- regions (councils of government, emergency management districts or radio districts).
- 263 The newly defined region would need to determine how NG costs will be divided among
- the participating entities. See Agreement Between Stakeholders Section 3.2.2 below for
- **265** information on formalizing the funding agreement between entities.
- 266 There is the option for individual 9-1-1 Authorities to move forward with purchasing
- NG9-1-1 as a service and funding it at the local level. Please note at some point in the
- future it will be necessary to interconnect with a statewide or regional network.

Larger scale projects could reduce cost by allowing the initial investment to be lowered by riding on top of state IP networks or cost sharing with neighboring jurisdictions. The more entities involved, the lower the cost may be.

- Larger scale projects could reduce cost by allowing the initial investment to be lowered
- by riding on top of state IP networks or cost sharing with neighboring jurisdictions. The
- 271 more entities involved, the lower the cost may be.
- 272 In those states that provide grant funds to 9-1-1 Authorities; based on the State
- requirements, those grant funds could be utilized to pay for NG9-1-1 non-recurring or
- recurring costs. State funding sources may be set up via a State 9-1-1 Administrator or
- the state organization that collects 9-1-1 fees, depending upon the state.

04/21/2020



Page 14 of 56

Federal grants could be utilized to pay for a regional NG9-1-1 implementation, based on
federal requirements and the agreement of the state 9-1-1 Authority to support the
request. Some grants, for example the National 9-1-1 Program grant funds, are only
available to a state 9-1-1 Authority. Federal funding is not as readily available as it has
been in the past.

- The FCC now requires states to verify that 9-1-1 funds have not been used for non- 9-1-1 services.
- Some 9-1-1 Authorities may approach other entities within the state or county to
 help fund the purchase of the equipment.
- Other county entities may benefit with the NG9-1-1 system. For example, the
 data received via the NG911 system could be passed to responding agencies via
 FirstNet or other data transport provider.
- Grants could be available on the local (state) level to assist with cost. Also,
 depending on the state, Tribal Nations may have resources available for funding.
- County entities could pass a public safety tax/surcharge that is different than the
 9-1-1 surcharge that could also help implement NG9-1-1.
- Counties may need to consider realigning their current budgets to fund NG9-1-1
 utilizing current funding from the fees collected today.
- 294 2. Statutory and Administrative Environments

9-1-1 systems are administered differently across the county, so statutory and
administrative requirements vary based on state, regional, and local requirements. It is
recommended that 9-1-1 Authorities conduct a statutory analysis to determine if
legislative changes are needed to accomplish NG9-1-1. Additionally, the statutory
analysis should include a discussion of whether interlocal or regional agreements can be
created for a shared NG9-1-1 system.

301 3. Interlocal or Regional Agreements

Regional agreements between participating 9-1-1 entities need to be formalized to
ensure all parties are in alignment with responsibilities and governance. Agreements
should include all elements of governance and operations of the shared NG9-1-1
system, e.g. how much funding each entity contributes, individual responsibilities in the
implementation, ongoing management and security of the system, decision making
authority, governance policies such as data management, data retention and policy
routing rules. These elements should be clearly documented for all stakeholders.

04/21/2020



Page 15 of 56

309 Formalized agreements can also identify a primary management/oversight committee

- comprised of stakeholders that implementation staff would either directly report to or
- be tasked with providing periodic progress updates.
- **312** 4. Governance

As the migration from legacy 9-1-1 systems to a new NG9-1-1 infrastructure occurs, the 313 issue of governance and who makes major decisions must be addressed. As 314 315 stakeholders consider the various issues, there may be situations where final decisions will have to be made. It is therefore incumbent on all participants that joint oversight 316 committees be created to oversee and be involved in all aspects of system planning. As 317 stakeholders adjust to the concept of regionalization and the migration to new 318 technologies, an open dialogue that addresses the needs of both the public and 319 emergency responders, and the effective delivery of emergency services must remain at 320 321 the core of the various discussions and deliberations. Final decisions on any aspect of new systems therefore should be made as much as possible by consensus, with any 322 final decisions made, and agreed to, by the established governance committee. 323

- 324 5. Planning
- 9-1-1 Authorities should develop an overall plan for the end state of the NG9-1-1
- deployment that involves a communication plan. NG9-1-1 deployment plan should
- 327 include realistic timelines for things like approval processes, legislative changes,
- certifications, etc. and consider some NG9-1-1 features that are not currently available.
- Agreements between stakeholders should include detailed planning phases. A strategic
- plan should be developed containing clearly defined short- and long-term goals, along
- with resources and responsible parties. The plan should also include periodic reviews to
- 332 gauge progress towards implementation.
- 333 6. Education

NG9-1-1 requires end-to-end education starting with 9-1-1 Authorities, PSAP personnel,
First Responders, local, state and other elected officials, as well as the general public.
Implementing NG9-1-1 systems requires a complete replacement of legacy systems and
is the only solution to supporting new technologies and data. Solution providers, PSAP
IT personnel, PSAP staff, administrators, and the general public need appropriate levels
of education and training.

- 340 7. Training
- NG9-1-1 requires training for various disciplines on an ever-evolving technology. It is
- important to ensure that all stakeholders have adequate training. For example, an IPnetwork may be managed by a 9-1-1 Authority or it may be provided as a managed

04/21/2020



Page 16 of 56

service from the vendor. If the 9-1-1 Authority is managing the network, networktraining will be required.

Telecommunicator training recommendations have been addressed at the national level,
Recommended Minimum Training Guidelines for the Telecommunicator [6], was created
with input from several entities including NENA. 9-1-1 Authorities should reference
these guidelines, for recommended training topics which include:

- Roles and Responsibilities 350 Legal Concepts 351 Interpersonal Communications 352 353 • Emergency Communications Technology 354 Call Processing • Emergency Management 355 • Radio Communication 356 • Stress Management 357 • Quality Assurance 358 • On-The-Job Training 359 360 361 Below is an additional list of training that may be needed, but is not all inclusive: • IT/Support Services personnel require training on how to maintain these 362 systems and provide security in an NG9-1-1 environment. 363 • PSAP personnel need training on handling calls for service. 364
- GIS personnel need training on how map layers/GIS data will affect call
 routing processes and database management.
- 367 8. Integration/Interoperability

Hardware or software providers must with existing standards which have been
developed, approved and implemented for use by the 9-1-1 industry so their offerings
are universal and not restrictively proprietary. Because of the need for NG9-1-1 to
interconnect across the nation, it is important for all hardware and software to be able

- to communicate with each other.
- 373 Interoperability is *"the capability to communicate, execute programs, or transfer data"*
- among various functional units in a manner that requires the user to have little or no
- 375 *knowledge of the unique characteristics of those units.* " Ensuring that any elements
- and/or applications that are deployed in NG9-1-1 use common standards may also help

04/21/2020



Page 17 of 56

with long term management and costs. Systems that use proprietary protocols aredifficult to upgrade and tend to be costlier in the long run.

379 9. PSAP Operational Impact

Deciding to progress to a NG9-1-1 environment is a universal decision involving public 380 safety leaders working with governmental entities responsible for allocation of funding 381 and resources, the local population, industry partners, and 9-1-1 agencies (PSAPs) both 382 in the local area and on a regional or statewide basis. 9-1-1 Authorities must devise a 383 long-term plan for implementation of NG9-1-1 while being fully cognizant of the needs 384 for the daily operations and the additional impacts a NG9-1-1 environment has on 385 infrastructure policy, call routing, training, systems and other considerations which may 386 not have been reviewed previously in a legacy 9-1-1 environment. 9-1-1 Authorities 387 must also be aware that by its nature NG9-1-1 involves other PSAPs, potentially in ways 388 389 that are unfamiliar, as routing process, disaster planning, and redundancies are designed and implemented. Below are some additional impacts which should be 390 considered: 391

- Partnering with service providers such as call handling equipment (CHE) vendors,
 local exchange carriers, Internet service providers, wireless carriers, etc. are
 needed for successful deployment of service.
- Working closely with local (contiguous) public safety entities, regional and state authorities to devise policies and plans which will be functional with the implementation is essential.

Beyond the issues and opportunities related to the technology and governance impacts
of NG9-1-1 implementation, there will also be impacts on the Telecommunicators,
Dispatchers, Certified Training Officers (CTOs) and Dispatch Supervisors working in the
NG9-1-1 environment. The increase in data that will be available to 9-1-1PSAPs, along
with the possibility of nearly global redundancy capabilities, will result in PSAP staff
needing additional skill sets that are sometimes overlooked in today's 9-1-1
environment. Including:

- Data Analysis
- Principles of Disaster Recovery
- **407** Video Processing
- **408** Emergency Management
- Incident Command
- Remote Sensor Use and Management

04/21/2020



Page 18 of 56

- Advanced Incident Monitoring and Reconstruction using multiple systems and sensors
- Social Media Monitoring
- 414

Additional skill sets may be needed either within a PSAP IT department or at a 9-1-1Authority level for:

- Video Analytics
- Social Media Monitoring

These items are only a partial list of the skills that telecommunicators in the PSAP may 419 need to be effective in the PSAP environment that will be created through NG9-1-1 420 421 deployment. Beyond these, PSAPs need to address the increased likelihood that telecommunicators will answer and process 9-1-1 calls for incidents that could be far 422 outside the normal service area. Traditionally, PSAPs have valued the local knowledge 423 that telecommunicators utilize to answer calls and provide enhanced service to callers 424 through their awareness of local geography and other information that may be of local 425 interest. In a connected, integrated NG9-1-1 environment, it will be more likely that 426 427 calls are routed to alternate PSAPs, which may impact the ability of telecommunicators to interact in the same localized manner with callers. PSAP managers should be aware 428 of this and work with their personnel and industry partners to provide the appropriate 429 support to minimize any negative impacts to call processing for those emergency calls 430 which are answered by an alternate PSAP. PSAP managers should ensure that their 431 432 personnel are fully trained on the steps to take to successfully process calls from other 433 PSAPs, including the process necessary to dispatch the appropriate resources when the 434 normal PSAP is unavailable for any reason [7].

- **435** The entirety of the NG9-1-1 PSAP environment, from the new technology, capabilities
- and job skill requirements, may potentially create a more stressful work environment
- 437 for 9-1-1 professionals than the current environment. PSAP managers [10],
- 438 stakeholders and the general public should be aware of this reality and the need to
- 439 ensure that best practices regarding education, hiring, scheduling, staffing, wellness
- program, and human factors are understood [10] and followed. Introducing additional
- stress factors [10] into the 9-1-1 environment has the potential to further impact the
- existing problems of staffing and retention that many PSAPs experience. In addition,
- the evolving skill sets required of 9-1-1 personnel should be reflected in both minimum
- training guidelines [6], but also in the compensation and benefits packages provided to
- those in the 9-1-1 profession.

04/21/2020



Page 19 of 56

- 446 Telecommunicators previously have only dealt with voice communication during an
- incident. With NG9-1-1 features, it is possible that telecommunicators will now see
- 448 photos and/or videos of an incident that may be disturbing. Having some type of
- 449 Employee Assistance Program (EAP) or Critical Incident Stress Management (CISM)
- 450 program in place will be necessary to assist with mental health of the
- 451 telecommunicators.
- In summary, the implementation of NG9-1-1 will require increased awareness and effort
 on the part of PSAP managers and stakeholders to the issues of telecommunicator
 performance and human factors. If managed properly, the introduction of NG9-1-1
 should provide increased capability and effectiveness for 9-1-1 professionals, however
 this will not happen without effort on the part of those who manage these services.
- **457** 10. Quality of Service
- 458 The NENA Master Glossary of 9-1-1 Terminology defines Quality of Service (QoS) as:
- ***As related to data** transmission, a measurement of latency, packet loss and jitter." QoS
 measures the quality of a network connection; QoS should not be mistaken for quality
- 461 assurance (QA) or quality control (QC), which are methods used to improve customer
- 462 service. QoS is solely about the network and network connections.
- 463 Several factors determine the QoS of a network. These factors are defined below:
- Service Level Agreement (SLA) Requirements/Consequences: SLA requirements are those items in a contract between a user and service provider which define the level of service to be expected from the service provider and received by the user/customer. SLAs generally allow for a certain amount of time a network can experience a maintenance window/period SLA consequence are what can be expected by a customer as a remedy when service providers fail to meet the SLA requirements.
- Packet Loss: Packet loss is defined as the failure of one or more transmitted 471 packets to arrive at their destination. In an IP world, data are sent as packets, or 472 groupings of information. Upon reaching their destination, packets are put back 473 together to form a message, picture, video, etc. When packets are lost, the 474 message will be incomplete, or errors may be produced. In 9-1-1, packet loss 475 may result in loss of audio or unintelligible speech. Packet loss is typically caused 476 by network congestion, inadequate signal strength, interference, or a 477 478 combination of those and other factors. Packet loss is a metric used when determining system efficacy and performance. 479



Page 20 of 56

- Jitter: The NENA Master Glossary defines jitter as packets arriving at a nonconsistent rate due to a type of distortion caused by the variation of a signal from its reference that can cause data transmission errors, particularly at high speeds. Jitter can cause a blip or flicker of a monitor, clicks or other undesired effects, such as inconsistency, in audio, and loss of transmitted data. Jitter degrades the quality of communications. IT professionals and SLAs often group packet loss, jitter and latency together as a measure of network performance.
- Latency: In simplest terms, latency is the time interval between stimulation and 487 response. In two-way communications, latency limits the maximum rate that 488 information can be transmitted. In everyday life, this is often demonstrated on 489 the news, where an anchor is interviewing a reporter in the field via a satellite 490 connection. There is often a lag from when the anchor finishes asking his/her 491 492 question and when the reporter begins to answer; this is due to latency. In terms of impact to 9-1-1, latency reduces efficiency of telecommunicators, 493 increases call taking times and may result in inaccurate or incomplete 494 information. 495
- Availability: Availability is the ability of a user to access data or a network via a specified location and in the correct format. For 9-1-1, the public expects a high-level of availability, i.e. the public expects to be able to access 9-1-1 and its services on a 24-hour basis.
- Uptime and Downtime: Uptime and downtime relate to availability. Basically,
 uptime refers to how often/long a network must function (or be available), while
 downtime is the amount of time a network can be down or not functioning.
- Network Availability: Network availability is generally measured as a percentage
 of reliability or uptime. In 9-1-1, network availability is generally measured to
 five 9s, or 99.999% reliable.
- For information on methods to ensure good QoS in NG9-1-1, refer to section 3.7of NENA-STA-010.2 [4].

Page 21 of 56



508 Chapter 2 Technology

509 A. Session Initiation Protocol

Perhaps the most important difference between the current
9-1-1 system and NG9-1-1 is the move to Session Initiation
Protocol (SIP) [20]. All communications within the NG9-1-1
system utilize SIP. SIP, as the underlying Communications
Protocol provides many of the benefits listed previously
including:

- The ability to transport voice, text, data, photos, full motion video, and other forms of media
 Dynamic rerouting of calls around congestion and outages to achieve the required high availability
- 520 Improved call setup times
- The ability to include information about the caller and
 the incident with the call. When provided by the OSP,
 these data blocks to the basic SIP standard can be used
 to route the call.

Perhaps the most useful information that can be passed to the 525 NG9-1-1 system by the OSP is the location of the device. This 526 is known as Presence Information Data Format Location Object 527 (PIDF-LO). Instead of the course routing of wireless calls 528 accomplished by using the caller's approximate location derived 529 by determining the cell site and sector handling the call, the 530 OSP can provide the actual location of the caller using GPS, 531 proximity to Wi-Fi hotspots, barometric pressure, and a variety 532 of sources of location available today and in the future. This 533 more precise location can be used for dispatch but more 534 importantly it can be used to route the call to the responsible 535 9-1-1 Authority the first time. This will reduce or eliminate the 536 need to transfer calls especially in high density areas that may 537 have multiple 9-1-1 Authorities responsible for a single cell site 538

- and sector.
- 540 Note that at this stage of the migration to NG9-1-1, most OSPs
- do not interface natively to NG9-1-1 systems. The majority of
- 542 OSPs interface to NG9-1-1 systems via the legacy network
- gateway (LNG) utilizing legacy time division multiplexing (TDM) technology. Many of the

04/21/2020



Session Initiation Protocol

Perhaps the most important difference between the current 9-1-1 system and NG9-1-1 is the move to Session Initiation Protocol (SIP). All communications within the NG9-1-1 system utilize SIP. SIP, as the underlying Communications Protocol provides many of the benefits listed previously including:

- The ability to transport voice, text, data, photos, full motion video, and other forms of media.
- Dynamic rerouting of calls around congestion and outages to achieve the required high availability.
- Improved call setup times.
- The ability to include information about the caller and the incident with the call. When provided by the OSP, these data blocks to the basic SIP standard can be used to route the call.

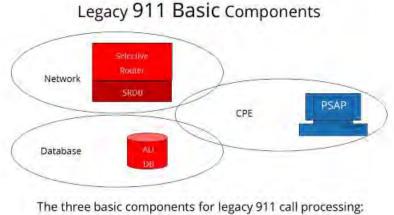
Page 22 of 56

features and benefits of NG9-1-1 will not be fully realized until the OSPs migrate to IP-based architectures and fully implement the native NG9-1-1 interface.

546 The ESInet and NG9-1-1 Core Services described below are built on top of the basic SIP547 architecture and NG9-1-1 data blocks.

548 The NG9-1-1 Core Services (NGCS) is comprised of Functional Elements (FEs). A

- Functional Element (FE) does one or more jobs, or functions within the NGCS, and ithas one or more interfaces to other FEs. All FEs work together to route a call from an
- **OSP to the proper PSAP, based on caller's location t**hat was provided by the OSP and
- obtain caller location and additional data information. An FE is a component of a system
- that does a specific job or set of jobs within the system. For example, a vendor may
- 554 bundle multiple FEs into a piece of equipment that performs a broader range of
- **555** functions in the overall NG9-1-1 architecture.
- **556** 1. Call Flow Diagrams
- 557 NENA's NG9-1-1 i3 architecture defines many FEs, such as the Border Control Function
 558 (BCF), Emergency Services Routing Proxy (ESRP) FE, the Emergency Call Routing
 559 Function (ECRF) FE, LNG, and others. A service in the i3 architecture consists of one or
- 560 more FEs that perform their functions in concert, as one entity. The i3 Logging Service
- is an example it can consist of multiple (redundant) Logging Service FEs that function
- service application.
- 563 a. Legacy Call Flow
- A high-level example of the components of current legacy 9-1-1 systems is shown.



Network, Database, and CPE

565

04/21/2020

Page 23 of 56



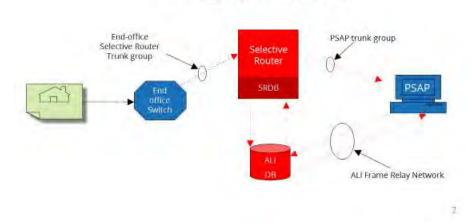
566

FIGURE 2 LEGACY 9-1-1 COMPONENTS

567 An example of the legacy 9-1-1 network is illustrated below and followed by diagrams

of the different types of E 9-1-1 calls: wireline, wireless and VoIP. In these examples, a

- call enters the 9-1-1 network from the Public Switched Telephone Network (PSTN), and
 then traverses the E 9-1-1 Selective Router/Tandem on its way to the designated legacy
- 571 (not yet NG9-1-1) PSAP. The caller's ANI and audio are delivered to the PSAP via
- 572 dedicated trunks/lines. PSAP equipment uses the ANI to query for the associated ALI
- 572 ueurateu trunks/intes. FSAF equipment uses the ANT to query for the association.

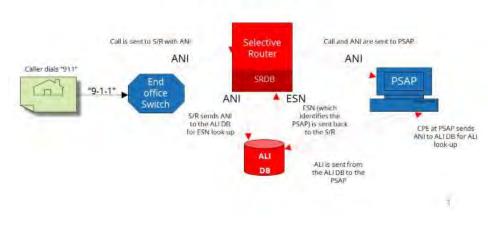


The Legacy 911 Network

574

575

FIGURE 3 LEGACY 9-1-1 NETWORK DIAGRAM



Wireline Legacy 911 Call Flow

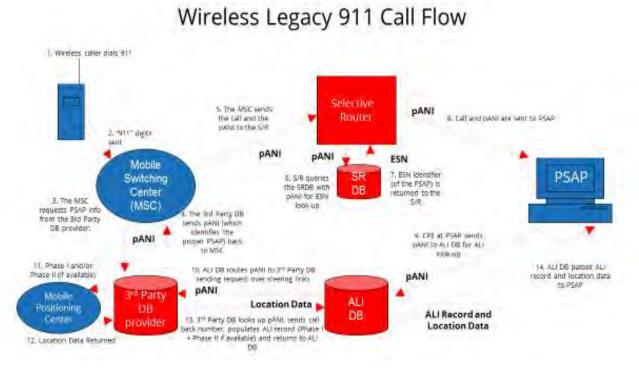
576

04/21/2020

Page 24 of 56

FIGURF 4 LEGACY 9-1-1 CALL FLOW DIAGRAM

577 578

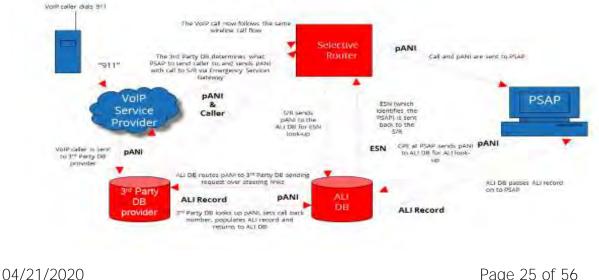


579

580

FIGURE 5 LEGACY WIRELESS CALL FLOW DIAGRAM

VoIP Legacy 911 Call Flow



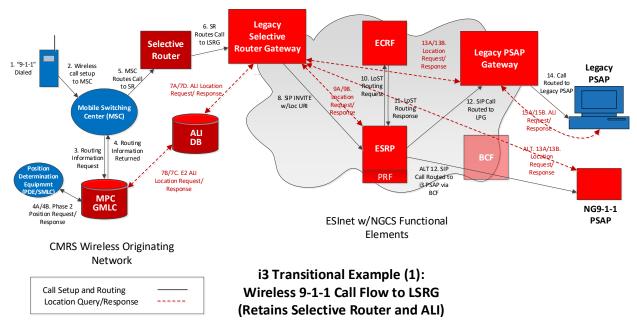
YA THE 911 ASSOCIATION Page 25 of 56

© Copyright 2020 National Emergency Number Association, Inc.

DI

581

- 582 FIGURE 6 LEGACY VOLP CALL FLOW DIAGRAM
- 583
- 584 b. NG9-1-1 Call Flow
- 585 TRANSITIONAL STATE WITH LEGACY SELECTIVE ROUTER GATEWAY
- 586 As noted in the Task Force on Optimal PSAP Architecture (TFOPA) report, PSAPs in the
- 587 foundational stage of the transition to full NG9-1-1 may be connected to an ESInet
- where legacy selective routing is still being used. The call flow diagram below depicts
- this scenario.



- 590 591
- 592 FIGURE 7 TRANSITIONAL NG9-1-1 WITH LEGACY SELECTIVE ROUTER GATEWAY DIAGRAM
- 593 In the diagram, the following call flow steps are numbered and correlate to the diagram594 above.
- **595** (1) Emergency call initiated by dialing 9-1-1
- 596 (2) The wireless carrier sends the call to the carriers' Mobile Switching Center (MSC)
- (3) The MSC sends a query to the Mobile Positioning Center/Gateway Mobile
- 598 Location Center (MPC/GMLC) for routing instructions
- 599 (4) The MPC/GMLC returns routing instructions including pANI (ESRK) back to the600 MSC

Page 26 of 56



(4A) The MPC/GMLC sends a request for location to the Positioning Determination
 Entity/Serving Mobile Location Center (PDE/SMLC) (this happens simultaneous to
 step 4)

(4B) The PDE/SMLC returns the Phase II X/Y of the caller's device to the MPC/GMLC

- and stages it for the next ALI query (this step may take several seconds)
- (5) The MSC forwards the call along with the pANI to the legacy Selective Router(SR)
- 608 (6) The SR, using information from the SRDB (not shown), routes the call to the609 Legacy Selective Router Gateway (LSRG)
- 610 (7A) The LSRG makes a location query to the ALI using the pANI (ESRK)
- (7B) The ALI forwards the location query via the E2 interface to the MPC/GMLC torequest initial location information
- (7C) The MPC/GMLC returns location information along with Call Back Number(CBN) to the ALI
- 615 (7D) The ALI returns location information along with Call Back Number (CBN) to the616 LSRG for use in next hop routing within the ESInet/NGCS network
- 617 (8) The LSRG converts call signaling from analog TDM to SIP (constructing a SIP618 REQUEST URI in the process) and routes it to the ESRP
- (9A) The ESRP sends a dereference request for location information to the LSRG,using the location URI provided by the LSRG in the previous step
- *NOTE*: The LSRG uses stored information associated with the incoming location URI
 to make an ALI query for location information (repeat steps 7A through 7D)
- (9B) Location information is returned from the LSRG to the ESRP in a PIDF-LO [4][11] format
- 625 (10) The ESRP initiates a LoST query to the ECRF using the received location and626 service URN
- 627 (11) The ECRF returns the next hop route (URI) in the LoST response
- 628 Case 1: LEGACY PSAP Call Delivery

04/21/2020

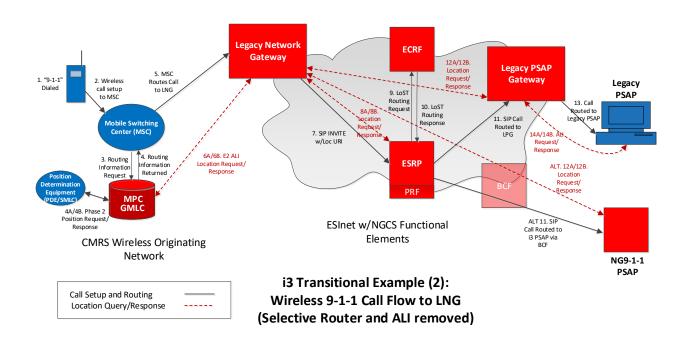
Page 27 of 56



- (12) The call is routed to the legacy PSAP gateway (LPG) based on the next hoproute URI returned in the previous step, and includes the location URI
- (13A) The LPG makes a dereference request using the location URI back to theLSRG
- Note: To complete the location request all the way back to the MPC/GMLC, the LSRGrepeats steps 7A through 7D, since location information is not cached
- (13B) The LSRG returns the location information within a PIDF-LO, potentiallycontaining both civic and geodetic location, if available
- (14) The LPG caches location information, converts the call from SIP to TDM
 (analog) signaling, creates a pANI, and sends the call to the legacy PSAP call
 handling equipment
- 640 (15A) The legacy PSAP does an ALI query based on the pANI provided in the641 previous step
- 642 (15B) The LPG returns location information to the PSAP
- 643 Case 2: NG9-1-1 PSAP Call Delivery
- 644 (ALT 12) The call is routed from the ESRP through the BCF toward the NG9-1-1645 PSAP
- 646 (ALT 13A) The NG9-1-1 PSAP requests location
- 647 Note: Steps 7A through 7D are repeated
- 648 (ALT 13B) Location information is returned to the NG9-1-1 PSAP

Page 28 of 56





- 649 650
- 651 FIGURE 8 TRANSITIONAL NG9-1-1 WITH LEGACY NETWORK GATEWAY DIAGRAM
- 652 TRANSITIONAL STATE WITH LEGACY NETWORK GATEWAY

As noted in TFOPA, PSAPs in the transitional or intermediate stage of the transition to

full NG9-1-1 may be connected to an ESInet where ingress call routing is not capable ofbeing directly connected to the ESInet and requires an LNG. The call flow diagram

- 656 below depicts this scenario.
- 657 In the diagram, the following call flow steps are numbered and correlate to the diagram658 above.
- (1) Emergency call initiated by dialing 9-1-1
- 660 (2) The wireless carrier sends the call to the carriers' mobile switching center (MSC)
- 661 (3) The mobile switch sends a query to the mobile positioning center/gateway
- mobile location center (MPC/GMLC) for routing instructions
- (4) The MPC/GMLC returns routing instructions including pANI (ESRK) back to themobile switch
- 665 (4A) The mobile switch sends a request for location to the PDE/SMLC
- (4B) The PDE/SMLC returns the Phase II X/Y of the caller's device to the
- 667 MPC/GMLC and stages it for the next ALI query (this step may take several seconds)

04/21/2020

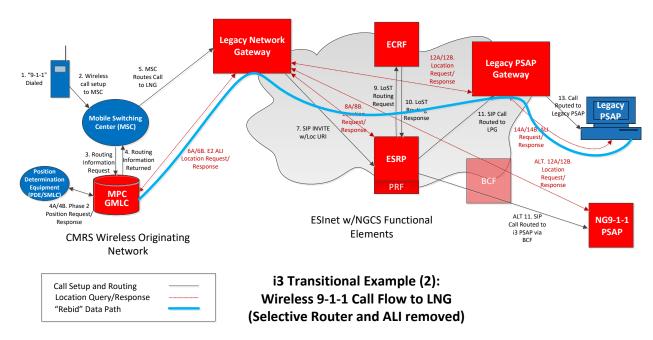
Page 29 of 56



- (5) The MSC routes the call to the LNG
- 670 (6A) The LNG sends a location request to the MPC/GMLC
- 671 (6B) The MPC/GMLC sends location information back to the LNG
- (7) The Gateway assigns a location URI based on the pANI used for the call through
 the BCF towards the ESRP using a SIP URI. This step is where the call signaling
 is converted from analog TDM to SIP.
- 675 (8A) The ESRP queries the LNG for location information
- 676 (8B) The LNG returns location information to the ESRP
- 677 (9) The ESRP makes a LoST request, including location information and a service678 URN
- (10) The ECRF uses the location and service URN to find the next hop URI forthe call and returns the URI to the ESRP
- 681 LEGACY PSAP CASE
- 682 (11) The ESRP routes the call to the legacy PSAP Gateway (LPG)
- (12) The LPG converts the call from SIP to TDM (analog) signaling and sends it tothe legacy PSAP call handling equipment, including a pANI with the call
- (13) The legacy PSAP performs an ALI query based on the pANI provided in theprevious step
- (14A-B) The LPG queries for and receives location (includes steps 12A-B, and 6A-B)i3 PSAP CASE
- (11ALT) The call is routed through the BCF to the i3 PSAP call handling equipment
- 690 (12ALT) The i3 PSAP queries for and receives location (steps 12A-B, steps 6A-B)
- 691

Page 30 of 56







- 693 FIGURE 9 TRANSITIONAL NG9-1-1 LEGACY PSAP WIRELESS REBID CALL FLOW DIAGRAM
- 694 LEGACY PSAP LOCATION REBID

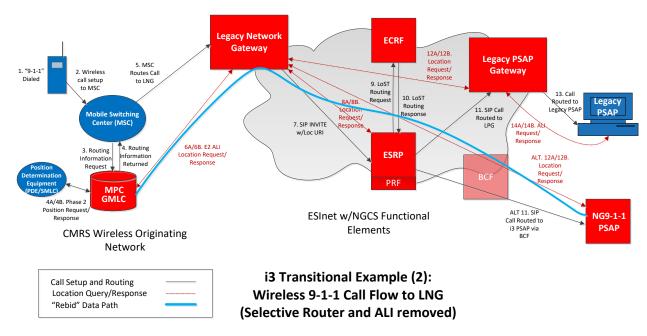
Note: The steps below are numbered sequentially and do not necessarily correlate to

- 696 the diagram above.
- 697 1. Legacy PSAP rebids for updated location
- 2. The location request goes back to the LPG to the LNG (shown as step 14A)
- 3. The LPG sends the request to the LNG (step 12A)
- 7004. The LNG queries the MPC/GMLC (step 6A)
- (step 4A) The MPC/GMLC requests updated location from the PDE/SMLC
 (step 4B) The PDE/SMLC returns Phase II X/Y coordinates (if available)
- 703 6. The MPC/GMLC returns the Phase II X/Y to the Legacy Network Gateway (step 6B)
- 705 7. The LNG sends the location to the LPG (step 12B)
- 7068. The LPG provides the updated location response to the legacy PSAP (step 14B)
- 707

04/21/2020

Page 31 of 56





708

- 709 FIGURE 10 TRANSITIONAL NG9-1-1 PSAP WIRELESS REBID CALL FLOW DIAGRAM
- 710 i3 PSAP LOCATION REBID

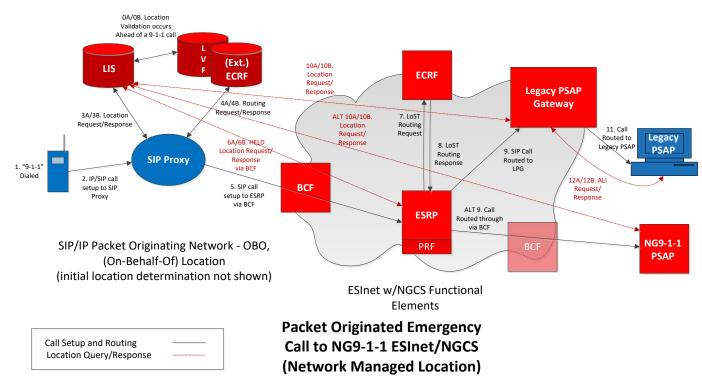
Note: The steps below are numbered sequentially and do not necessarily correlate to

- **712** the diagram above.
- 713 1. i3 PSAP rebids for updated location
- 714 2. The location request goes through the egress and ingress BCF to the LNG (step715 ALT 12A)
- **3.** The LNG queries the MPC/GMLC (step 6A)
- (step 3A) The MPC/GMLC requests updated location from the PDE/SMLC
 (step 3B) The PDE/SMLC returns Phase II X/Y coordinates (if available)
- 719 4. The MPC/GMLC returns the Phase II X/Y to the LNG (step 6B)
- 720 5. The LNG sends the location through the egress/ingress BCF to the legacy PSAP
- **721** (step ALT 12B)
- 722
- 723

04/21/2020

Page 32 of 56





- 724
- 725

FIGURE 11 NG9-1-1 CALL FLOW DIAGRAM

- 726 In the diagram, the following call flow steps are numbered and correlate to the diagram727 above.
- (0A) Validation request for stored location is sent from the LIS to the LVF (this step happens ahead of the emergency call)
- (0B) LVF returns a location validation response to the LIS (this step happens aheadof the emergency call)
- (1) Emergency call initiated by dialing 9-1-1
- (2) The SIP/IP call is sent to the SIP service provider's SIP Proxy
- (3A) SIP Proxy requests location for the caller's device from the LIS
- (3B) LIS returns location and a location URI (for later dereferencing) to the SIPProxy
- (4A) SIP Proxy requests initial ESInet routing instructions from the external ECRF
- (4B) External ECRF returns routing instructions (next hop URI) to the SIP Proxy

Page 33 of 56



- (5) SIP Proxy sends call to the ESRP via the BCF
- 740 (6A) ESRP requests location information from LIS
- 741 (6B) LIS returns location information to the ESRP
- (7) The ESRP initiates a LoST query to the ECRF using the received location andservice URN
- (8) The ECRF returns the next hop route URI in the LoST response
- 745 Case 1: LEGACY PSAP Call Delivery

(9) The call is routed to the LPG based on the next hop route URI returned in theprevious step, and includes the location URI

- 748 (10A) The LPG makes a dereference request using the location URI back to the LIS
- (10B) The LIS returns the location information within a PIDF-LO, potentiallycontaining both civic and geodetic location if available
- (11) The LPG converts the call from SIP to TDM (analog) signaling, creates a pANI,
- and sends the call to the legacy PSAP call handling equipment
- (12A) The legacy PSAP does an ALI query based on the pANI provided in theprevious step
- 755 (12B) The LPG returns location information to the PSAP
- 756 Case 2: NG9-1-1 PSAP Call Delivery
- (ALT 9) The call is routed from the ESRP through the BCF toward the NG9-1-1 PSAP
- 758 (ALT 10A) The NG9-1-1 PSAP requests location
- 759 (ALT 10B) Location information is returned to the NG9-1-1 PSAP
- 760

04/21/2020

Page 34 of 56



761 B. What is an ESI net?

762 An ESInet, or Emergency Services IP network [12], is a managed IP network used for

agencies. The network must meet more stringent requirements for security and

reliability service levels than a traditional IP network. The ESInet is *the network* that

As explained in Chapter 1, if NG9-1-1 is a transportation system, the ESInet is the roadway; the NG9-1-1 Core Services (NGCS) are the traffic control devices, rules and laws which govern traffic flow; and the vehicle occupants are the data being transported (calls, texts, call data, etc.)

766 provides transport services for 9-1-1 related voice, signaling and other data. This

- 767 network provides the IP transport infrastructure upon which independent application
- 768 platforms and core functional processes can be deployed, including but not restricted
- to, those necessary for providing NG9-1-1NGCS. ESInets may be interconnected at
- regional, state, national and international levels to form an IP-based inter-network.
- ESInets use broadband, packet switched technology capable of carrying voice plus large
- amounts of varying types of data using Internet Protocols and standards. ESInets are
- engineered, managed networks, and are intended to be multi-purpose, supporting
- extended public safety communications services in addition to 9-1-1. NG9-1-1 assumes
- that ESInets may be hierarchical, or a network of networks in a tiered design approach
- to support local, regional, state and national emergency management authorities.

04/21/2020

Page 35 of 56



- 778 Chapter 3 Building Blocks
- This section describes on a high level, the building blocks to NG9-1-1NGCS. The
- 780 building blocks include FEs, interfaces and protocols, databases, security and
- 781 administrative processes.
- 782 A. Interfaces
- 783 Network interfaces between varying E 9-1-1 systems today are antiquated and are
- subject to end-of-life support issues, security vulnerabilities, low processing
- performance, and limited data that can be conveyed using them. NENA's i3 design
- approach to NG9-1-1replaces existing legacy TDM circuits and interfaces with IP based
- **787** SIP and HTTP [21] styled protocols. New interfaces to convey signaling, media, logging,
- **788** and additional data have been created using these protocols as defined in the i3
- **789** specifications.
- 790 1. Data Formats
- 791 NG9-1-1 leverages a new data format to convey information. The common format for
- conveying location in i3 is the PIDF-LO format, based on the NENA defined Civic
- **793** Location Data eXchange Format (CLDXF) [11] standard and implemented using
- eXtensible Markup Language (XML). The following shows an example of locationinformation encoded within a PIDF-LO XML format.
- **Example. Let's assume we w**ant to encode a civic street address within a PIDF-LO
- document of the form, "123 Main Street, Morristown, PA, US 37815." The following XML
- representation shows a short extract of the standardized PIDF-LO form. (Note that
- **799** country code of US is assumed.)
- **800** < civicAddress xml:lang="en-US"
- 801 xmlns="urn:ietf:params:xml:ns:pidf:geopriv10:civicAddr">
- 802 <country>US</country>
- 803 <A1>PA</A1>
- 804 <A3>Morristown</A3>
- 805 <RD>Main</RD>
- 806 <STS>Street</STS>
- 807 <HNO>123</HNO>
- **808** < PC > 37815 < / PC >
- 809 </civicAddress>
- 810 B. Functional Elements
- 811 FEs are a set of software and/or hardware *elements* which perform tasks or
- *functions* within the i3 architecture. FEs perform various tasks, including but not
 04/21/2020 Page 36 of 56



- 813 limited to: conversion from legacy to NG9-1-1 signaling, security, call routing, and call
- 814 handling. For databases and applications, FEs may GIS, additional call data, web or user
- 815 interface functions, or other general location information. The functions performed by
- 816 each FE are described in high level terms in this document.
- 817 FEs have well-defined interfaces and protocols in which to communicate or interact with
- 818 other functional elements. Interfaces defined by i3 are connections to external elements
- 819 or networks. Protocols are a set of procedures for handshaking and exchanging
- 820 information between elements or networks. i3 standards and protocols are developed to
- help ensure interoperability between FEs and other NG9-1-1 systems across thenetwork.
- **823** FEs do not necessarily need to interact or interface with every other FE in the i3
- architecture. However, all FEs as a whole create an NG9-1-1 system or service,
- **825** otherwise known as NG9-1-1NGCS.
- 826 Encryption will be critical in NGCS. With so many elements communicating and
- 827 exchanging data, great care must be taken to ensure the information cannot be read
- 828 while in transit (routed) or at rest (stored).
- 829 1. Border Control Function
- 830 The Border Control Function (BCF) is the security element located at each entrance/exit
- to the ESInet. It is comprised of two security functions, a Session Border Controller
- (SBC) and a firewall that sits between the ESInet and external networks, or other
- elements and services. The BCF provides a secure entry for emergency calls presented
- to the network and protects NGCS from various types of attacks. In addition to security,
- the BCF may be able to provide protocol interworking, translations, and interoperability
- between various FEs across different domains. The BCF is the first (ingress) and last(eqress) element in any call flow for NGCS.
- 838 The BCF performs three major functions:
- Acts as a firewall for the ESInet
- Acts as a potential media anchoring element
- Acts as a session border controller
- 842
- 843 As a firewall, the BCF:
- Establishes a barrier between trusted, secure internal network, such as the
 ESInet, and other networks assumed not be secure or trusted
- Enforces network access control

04/21/2020

Page 37 of 56



- Controls, identifies, verifies, regulates and enforces incoming and outgoing traffic 847 848 As a media anchoring element, the BCF: 849 850 May perform protocol conversion between dissimilar calling networks • Supports transfers of emergency calls and acts as a conference bridge with 851 interactive voice recognition 852 Isolates media between networks 853 854 As a session border controller, the BCF: 855 856 Controls and mediates signaling, encryption and media flow Governs call set-up, call exchange of media and call termination 857 • • Provides security and interoperability during a session (call) in an IP network 858 • Provides call guality, availability, service level agreement (SLA) and other 859 statistics 860 861 862 2. **Emergency Call Routing Function** 863 Though its name implies that it performs call routing functions or tasks, the Emergency Call Routing Function (ECRF) [13] provides a set of data in the data layer to allow or 864 steer other elements to route calls at the communications layer. As described in the 865 next section, the routing proxy gueries the ECRF to determine where it should normally 866
- route a call.
- 868 The ECRF utilizes the location of the calling device and the service URN (e.g.,
- urn:service:sos) to determine the correct path for the 9-1-1 call to reach the correct
- PSAP. When a 9-1-1 call is placed, the location of the calling device is known, and this
- 871 location comes with the call. This location and the service URN being requested is sent
- to the ECRF by the ESRP. The ECRF utilizes internal GIS data to determine the
- appropriate destination and the ESRP routes the call to the appropriate PSAP.
- To visualize this concept, imagine a call is received from 15 2nd Street in Figure 12
- below. The top GIS layer depicts address points, indicated by the blue dots. The middle
- 876 GIS data layer shows a street layer indicated by the black lines. The bottom GIS data
- 877 layer is the PSAP Service boundary layer. The location of the call received from 15 2nd
- 878 Street falls within the city PSAP boundary. The 9-1-1 call would be routed to the city
- 879 PSAP, provided there was no overriding policy rules to change the routing.

04/21/2020



Page 38 of 56

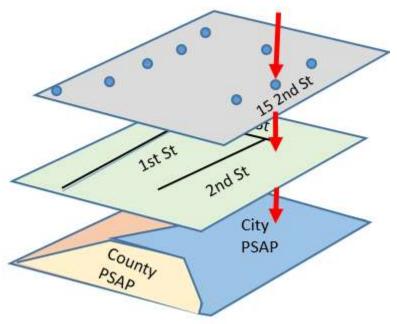


FIGURE 12 GIS DATA LAYERS

880 881

882 3. Emergency Services Routing Proxy

The ESRP contains a set of rules in order to route the 9-1-1 call. The ESRP works with 883 the ECRF to determine which PSAP should receive the call based on location and policy 884 routing. For example, the ESRP considers other factors, such as the availability of the 885 destination PSAP to take calls, specific language requested, etc. If a PSAP is unavailable 886 to accept calls for whatever reason, a preconfigured rule can be created that will cause 887 888 the ESRP to route the call to a different PSAP. The logic used by the ESRP to make the routing decisions is called the Policy Routing Function (PRF), which is a configurable 889 part of the ESRP. The PRF is configured with input from all stakeholders - PSAPs, 890 regions, and state entities. 891

892

- 893 The ESRP performs several key tasks:
- The ESRP sends the caller's location and request for service to the ECRF. In
 response, the ECRF sends the destination of the requested service, generally the
 appropriate PSAP back to the ESRP.

04/21/2020

Page 39 of 56



- The ESRP uses the returned destination of the service to route the call to the correct PSAP or emergency agency.
- Before the ESRP connects the call with the returned destination service it
 evaluates the policies in the PRF, to determine if any policies are in place to
 override the destination of the call.
- 902 a. Policy Routing Function

The ESRP utilizes the PRF to determine the next hop in the delivery of a 9-1-1 call when the intended destination is unavailable. The PRF implements rules based on policies subject to agreements between 9-1-1 Authorities. The PRF utilizes the policy rules set by the 9-1-1 Authority to allow the rerouting of calls based on certain conditions or criteria. The ESRP utilizes policy rules within the PRF to modify the normal routing of a 908 9-1-1 call based on conditions including:

- Overload conditions such as the number of calls in a call queue
- 910 Service state such as scheduled maintenance, scheduled upgrade, and network911 or equipment failure
- Available Telecommunicator skill sets, or
- Other criteria based on information associated with the call
- 914 4. Location Validation Function

The LVF, is an i3 Functional Element. In a full i3 implementation the LVF works with the

P16 LIS to validate the location of a civic address prior to a call being placed to 9-1-1. The

917 functionality of the LVF within NG9-1-1 replaces the E 9-1-1 master street address

- **918** guide (MSAG) validation in legacy 9-1-1 environments.
- 919 The civic location of the calling device is validated against the same GIS data used by
- 920 the ECRF. During the validation process, if the civic location provided to the LVF is
- 921 invalid, a discrepancy report is generated indicating the location validation was
- 922 unsuccessful. When a discrepancy report is generated, notification is provided back to
- 923 the entity identified within the GIS data as the agency to receive GIS data
- discrepancies. This agency will take responsibility for ensuring discrepancy resolution
- and may or may not be the same as the 9-1-1 Authority. For more information on
- 926 discrepancy reporting please reference NENA-REQ-002 NENA Next Generation 9-1-1
- 927 Data Management Requirements.
- 928 The LVF obtains the GIS data from the 911 Authority that flows through the Spatial929 Interface (SI). The SI provisions GIS data to both the LVF and the ECRF.
- 930 a. Location Information Server
- 931 After the civic location of the calling device is determined to be valid by the LVF, the
- 932location is then stored in the Location Information Server (LIS) in the OSP network. In
04/21/2020Page 40 of 56



NG9-1-1, the LIS is designed to replace the ALI database that is used in legacy E 9-1-1,

however, the LIS only contains location information but does not contain customer

935 name, phone and related caller information, which is supplied by the additional data936 repository (ADR).

937 The LIS also provides location information at the time of call for IP-capable VoIP and938 other devices capable of contacting 9-1-1.

939 b. Additional Data Repository (ADR)

An ADR is a database that holds additional data about an emergency call, caller, or location related to an incident. A partial list of additional data content includes data **about the network or service provider, the device, the caller's medical details, site** structure floorplans, and on-site hazards. HTTP links to an ADR may be passed with the

call. PSAPs use the link to retrieve the data using a standard HTTPS "GET" request. TheADR is defined in NENA-STA-010, along with a minimal set of data that must be

provided. Originating networks and service providers are expected to provide at least

this minimal set of data on every call, which is, at a minimum) basically equivalent to

948 what is typically provided in ALI.

949 c. Identity Searchable - Additional Data Repository

Some ADRs allow the repository to be searched by identity. For example, personal
medical data about the caller may be stored by a service trusted by the caller to keep
such data. The Identity Searchable – Additional Data Repository (IS-ADR) provides a
web service that returns the data when a PSAP system sends a request that provides
the caller's identity, e.g., the telephone number. Additional details about the IS-ADR
can be found in NENA-STA-010.

956 5. Legacy Network Gateway

The LNG converts older telephone network trunking (e.g., Signaling System 7) into an 957 IP format. The LNG allows i3/ NG9-1-1 PSAPs to receive emergency calls from legacy 958 networks and accesses location and additional data from legacy networks. The LNG is a 959 signaling (request for service) and media (audio path) interconnection point between 960 callers in legacy wireline/wireless originating networks and the i3 architecture. This 961 function is on the ingress side of the ESInet and sends calls to the BCF. In this 962 configuration, the traditional method of caller location information is parsed into the 963 NG9-1-1 format by the LNG. The LNG appends the callers' location information into the 964 IP output delivered to the ESInet and enables location information to accompany the 965 call as it is routed to the NG9-1-1 PSAP. The LNG also converts i3 originating calls into E 966 9-1-1 formats for delivery from the ESInet to legacy PSAPs. As long as there are legacy 967

04/21/2020



Page 41 of 56

968 originating service providers connected to the ESInet, there will be a need to have an969 LNG.

970 6. Legacy PSAP Gateway

971 The LPG performs a similar function to the LNG, in that the LPG converts between IP
972 and non-IP protocols used by legacy PSAPs. The LPG is a signaling (call setup) and
973 media (for example, voice, video, text) interconnection point between the ESInet and
974 the legacy PSAP. And unlike the LNG, which is on the ingress side of the ESInet; this
975 function is on the egress side of the ESInet and sends calls to the PSAP (in some

- 976 implementations there may be a BCF prior the LPG serving the PSAP).
- 977 7. Call Handling Function
- 978 The Call Handling Functional Element (CHFE) within the PSAP includes interfaces,
- 979 equipment and software applications necessary for agencies to receive and process
- 980 incidents. As communications technology develops, call handling will need to process
- not only 9-1-1 voice calls, but also non-traditional methods of requesting emergency
- 982 service, including text, video, automatic crash notifications, etc. Additionally, it will be
- necessary for each PSAP to not only have CPE capable of connectivity to an ESInet, butto have an interface for that connectivity [3]. In NG9-1-1 the call handling function for
- the PSAP may be physical equipment located at the PSAP or in a central location; or it
- 986 may be cloud-based software with limited hardware on the premises.
- 987 8. Logging Service

988 In NENA's i3 standard for NG9-1-1 (NENA STA-010 [4]), logging functionality is provided by the Logging Service which is one part of the NG9-1-1NGCS, and therefore 989 must not have any single point of failure and must be available to all elements in an 990 ESInet, and in a PSAP. A PSAP can have its own Logging Service, which might include 991 physical equipment located at the PSAP; or it can use a Logging Service in the serving 992 ESInet or in another PSAP. If a PSAP has its own Logging Service, it may use an 993 external Logging Service for redundancy. 9-1-1 Authorities should consider how Logging 994 Service redundancy will be implemented to provide the high availability required. 995

- **996** The NG9-1-1 Logging Service differs from a legacy logging recorder in four key ways:
- **997** 1. In addition to recording voice, it records video and text communications
- 998 (including from automated devices and sensors)
- 999 2. It records call processing events from entry into the ESInet until the call ends,1000 including call transfers
- 1001 3. It records incident processing events from the beginning to the end of the response

04/21/2020

Page 42 of 56



10034. It has standardized interfaces for searching and retrieving all recorded media and events

1005 a. Event Logging

The NG9-1-1 Logging Service also logs call and incident processing events, each
stamped with the time the event occurred. Event logging begins with the first element
the call hits, in the first ESInet that receives it, and continues through the routing
process, and through the call handling and incident processing that occurs in the PSAP.
Each element that handles the call must log the start and end of its processing.

1011 Database queries and responses that occur along the way are also logged, as are all the

1012 SIP messages sent and received. Changes to the state of the call are logged (ringing,

answered, on hold, etc.), and changes to an agent's state (waiting, on a call, in wrap-

1014 up, on break, etc.) are also logged. When incident data is sent from one system to

another in an Emergency Incident Data Document (EIDD), that data is always logged.The Logging Service contains a great deal of detail about the call, the caller, incident

1016 The Logging Service contains a great deal of detail about the call, the caller, incident 1017 location(s), and how the incident was processed. And all this event data is tied to the

1018 media recording by the unique NENA Identifiers.

1019 b. Unique NENA I dentifiers

1020 The BCF assigns a NENA Call Identifier and a NENA Incident Tracking Identifier, prior to 1021 the start of the logging service. If the call is transferred to another PSAP or the incident 1022 is dispatched by another agency, these identifiers go along with it, and effectively tie 1023 everything together for a complete record.

1024 c. Media Recording

The NG9-1-1 Logging Service must record all multimedia including text. The text media
type includes Real Time Text (RTT) (a character at a time), Message Service Relay
Protocol (MSRP) (chat or instant messages, also used to deliver SMS initiated text
messages), and automated device data from sources like vehicle telematics, sensors of
various types, and automated alerts of various types.

1030 d. Search, Retrieval and Playback

1031 The NG9-1-1 Logging Service has a standardized interface for search queries, and for
 1032 retrieval and playback of media or text data. Standardized interfaces provide

- 1033 interoperability between different vendors' Logging Services and make it possible for an
- authorized 9-1-1 Authority to reconstruct the entire record of a call and/or incident,

even if parts of the record exist on different vendors' platforms. And with the unique

- 1036 NENA Identifiers and the timestamped processing events, the record produced will give
- 1037 a fairly accurate picture of what was known at a given point in the processing of an1038 incident.

04/21/2020



Page 43 of 56

1039 It is important for the 9-1-1 Authority to ensure that the logging service procured allows

- 1040 PSAP personnel end users, such as the telecommunicator, dispatcher or call-taker to
- have easy, immediate access to recordings. This information must be readily available
- to PSAP personnel in order to assist in responding to 9-1-1 calls.
- 1043 C. Interfaces and Protocols
- **As mentioned throughout in this document, NENA's** i3 design approach to
- 1045 NG9-1-1replaces existing legacy TDM circuits and interfaces with IP packet-based
- 1046 interfaces with SIP and HTTP messaging protocols. NGCS as it is defined, is
- implemented over an ESInet, and comprises a number of FEs. Each FE does one ormore jobs, or functions within the NGCS, and each FE has one or more interfaces that
- 1049 send and receive messages using a specific protocol to and from other FEs.
- 1050 In NG9-1-1 an interface can be thought of as a pathway to support communication
- between FEs, and a protocol can be thought of as the agreed to language used across
 that pathway.
- **1053** The protocols used for NG9-1-1 not only support a standardized means of exchanging
- data values, but also support the necessary signaling instructions to allow FEs to
- exchange data. Another way to explain protocols is to think of them as a set of pre-
- 1056 defined rules for handshaking and exchanging information between elements or
- **1057** networks. The NENA i3 design uses standardized protocols to help ensure
- interoperability between different FEs within an NG9-1-1 system and between otherNG9-1-1 systems.
- 1060

1061

- 1062
- **1063** Examples of protocols used in NG9-1-1, and how they apply include:
- SIP Session Initiation Protocol an IETF defined protocol (RFC 3261) that
- 1065defines a method for establishing multimedia sessions over the Internet. Used as1066the call signaling protocol in VoIP, i2, and i3.
- 1067 One example: SIP is used to setup the initial 9-1-1 call, and to convey various
- signaling requests between the caller and the PSAP, apart from the media
- (voice), throughout the call, for example, including the ability for the PSAP to
- terminate the call.

04/21/2020



Page 44 of 56

- HTTP Hypertext Transfer Protocol HTTP is a messaging protocol designed to send and receive data between two different FEs in an NG9-1-1 system.
- HELD (Deref) HTTP-Enabled Location Delivery (Dereference) HELD (Deref) is a protocol (RFC 6753 [21]) designed to request and convey location information via the HTTP protocol that it was built on top of. HELD (Deref) can be used to acquire location information (LI) from a LIS within an access network as defined in IETF RFC 5985.
- LoST Location-to-Service Translation LoST (RFC5222 [22]) is a protocol designed specifically to request and convey location information to and from a LoST server. In NG9-1-1 it is used generally for location-based call routing.
- 1081
- 1082 1. Databases
- 1083 NG9-1-1 uses a set of database systems to house and provide management of the data
- 1084 content. NG9-1-1 databases include: location validation, routing control,
- 1085 policy/business rules, and system-wide detail call records. NG9-1-1 provides the
- mechanisms to access external sources of data via the ESInet, either automatically ormanually, to support more knowledgeable and efficient handling of emergency
- 1088 calls/messages. External databases include: telematics/advanced automatic crash
 1089 notification (AACN) data, hazardous material information, building plans, and medical
- 1090 information.
- 1091 2. Security
- 1092 NG9-1-1 provides extensive security methods at the hardware and software levels to
- replicate the privacy and reliability inherent in E9-1-1 services. It is highly
- recommended users reference the NG-SEC [15] standards and information documents
 to assist in writing RFPs and to aid in determining the security capability of their
 NG9-1-1 network.
- 1097 3. Human process
- **1098** NG9-1-1 as a service system involves a multitude of human procedures and system
- 1099 operations procedures to control and monitor the functionality and effectiveness of the
- 1100 systems and services that provide NG9-1-1. Examples include database establishment
- 1101 and maintenance procedures, IP network operations, security processes,
- 1102 troubleshooting procedures, database auditing and accuracy validation procedures.
- 1103

04/21/2020

Page 45 of 56



- 1104 Chapter 4 Expanding 9-1-1 Service toward NG9-1-1 Evolution
- 1105 A. Interim SMS Text-to- 9-1-1
- 1106 In 2012, the big four wireless carriers AT&T, Sprint, T-Mobile and Verizon, together
- 1107 with NENA and APCO reached an agreement to support an interim text-to- 9-1-1
- solution. The interim short message service (SMS) text-to-9-1-1 solution is for the most
- commonly utilized texting technology. In addition, the agreement provides for a bounce
- back message to be delivered if a person attempts to send a text in an area where text-
- to- 9-1-1 is not supported. The bounce back message will explain that text is not
- available and to attempt to reach 9-1-1 with another method.
- 1113 The wireless carrier will utilize a third-party network element referred to as a Text
- 1114 Control Center (TCC) to route the text messages to a PSAP, much like wireless carriers
- and VoIP providers use to route 9-1-1 calls today. The wireless carrier will recognize the
- short code of 9-1-1 and forward the text message to the TCC. The TCC will query the
- 1117 wireless carrier's commercial location server to determine a course location and utilize
- this information to route the call toward the correct PSAP. Unlike wireless 9-1-1 calls,
- 1119 text-to- 9-1-1 will be routed based on the commercial location services or fall back to
- the centroid of the cell sector. This is similar to wireless Phase I location information;
- however, it may not be the same in all cases. The solution provides the general location
- 1122 of the caller, similar to a wireless Phase I location. In some cases, more granular
- **1123** location information is available.
- 1124 **Currently, the solution will be deployed within the wireless carrier's home** networks and 1125 is not supported when a customer is roaming. Unlike wireless calls to 9-1-1, the service 1126 will be limited to current wireless customers with a texting plan. A PSAP will not receive 1127 text messages from Non-Service Initialized (NSI) devices.
- As a transitional solution, Interim SMS text-to- 9-1-1 allows the public to use existing
- SMS-based texting to text 9-1-1 when making a traditional call for help might place
- 1130 them in additional danger, such as in an active shooter or domestic abuse situation. It
- also provides individuals who are deaf, deafblind, hard of hearing or have a speech
- disability equal access to 9-1-1 for the first time in history!
- 1133 The interim SMS solution was created to provide text access to all PSAPs through legacy
- 1134 options like a TTY interface or a web browser solution. As PSAPs transition to IP based
- call delivery the third option of the interim solution allows for IP delivery of a text
- integrated into the call handling equipment. The TCC may connect directly to the PSAP

04/21/2020

Page 46 of 56

via an IP network or the TCC may connect to an ESInet, and the ESInet will route thecall to the PSAP.

1139 1. TTY Transition to Real Time Text

1140 As communication technologies have become more advanced, individuals who are deaf, deafblind, hard of hearing or have a speech disability have begun using video calls and 1141 Real Time Text (RTT) in place of traditional TTY for communication. Wireless carriers, 1142 as well as Apple and Android mobile phones, are now including RTT as part of their 1143 operating systems. FCC 16-169 allows wireless providers and interconnected VoIP 1144 1145 providers using wireless technology to move away from TTY support and replace it with 1146 RTT support. Where TTY technology remains in lieu of RTT, either with the OSP or at the PSAP, RTT will be converted to Baudot tones which may create conversion 1147 anomalies in the transmitted information (e.g. special characters, garbled messaging, 1148

1149 etc.).

1150 The initial deployment called for the delivery of RTT into the PSAP via TTY beginning

1151 December 31, 2017 for tier 1 wireless service providers, which chose to support RTT in

- 1152 lieu of TTY, on at least one device with one type of technology (native vs. application).
- 1153 These deployments supported Voice Carry Over (VCO) and Hearing Carry Over (HCO).
- By December 31, 2019, each wireless provider choosing to support RTT in lieu of TTY
- over IP facilities shall support RTT for all new authorized user devices. As OSPs migrate
- to i3-compliant IP networks, they will have the capability to deliver RTT natively to the
- **1157** ESInet. As ESInet and PSAP systems and technologies evolve, RTT originated by OSPs
- 1158 will be delivered directly to i3-compliant PSAPs without a transition to TTY. These native
- **1159** RTT calls will incorporate simultaneous audio which emulate VCO and HCO.
- 1160 a. Analog-to-Digital Telephone Network Transition
- 1161 Analog telephone lines are expensive to maintain, rely on switches and other parts that
- may no longer be manufactured, and do not always interface well with the newer
- technologies that still use old copper wires, especially in rural areas. Consequently, they
- are problematic in many VoIP implementations as most voice digitization and
- compression codecs are optimized for the representation of the human voice and the
- 1166 proper timing of the modem signals cannot be guaranteed in a connection-less network.
- 1167 The incompatibility between analog and VoIP network may have an adverse impact on
- the usage of analog equipment such as TTY; data traveling across a computer network
- 1169 may fail to reach its destination during transmission which is known as a packet loss.
- 1170 This means that one can expect to exceed the one percent character error rate

04/21/2020



Page 47 of 56

- 1171 threshold recommended by the FCC when the packet loss rate is only 0.12%, an
- 1172 amount far below what is often regarded as acceptable for voice communication. Voice-
- 1173 optimized packet loss concealment algorithms are *not* able to trick a TTY into hearing a
- 1174 TTY tone (data bit) that was not received. If any one of the audio packets containing a
- 1175 TTY tone is lost, the receiving TTY will be unable to decode and display that character
- 1176 properly [25]. There appears to be no effort from TTY manufacturing companies to
- 1177 update TTYs to accommodate VoIP or digital phone systems.
- 1178 The FCC is working on the biggest transformation in over a century of profound
- technological progress in communications: shutting down the analog telephone
- 1180 network. The big carriers have already started to sunset the Public Switched Telephone
- **1181** Network (PSTN) with reduction of support, increase in costs, and elimination of a
- 1182 replacement. These changes are a technological revolution.¹
- 1183 It is important to note that the current SMS text-to- 9-1-1 solution will not be replaced by PTT; but rather both solutions may be deployed at a $PSAP^2$
- 1184 by RTT; but rather both solutions may be deployed at a PSAP².
- 1185 B. Other Types of Media
- Once central NG9-1-1 capability exists in a state or region, a major evolution step is the
 implementation of OSPs to incorporate IP-based architectures and interconnect native
 IP to the NG9-1-1 NGCS. Having IP end to end for NG9-1-1 enables various features
 and operational aspects of the NG9-1-1 design, including
- multi-media (various forms of text, pictures, video, certain additional data)
- future use of caller location, when available, sent literally with the 9-1-1 call or
 message, allowing more accurate routing and caller location display
- 1193 expanded additional data options
- ability for the caller and the PSAP to hold interactive text, voice, and video conversations
- NENA's i3 architecture for NGCS is designed to deliver much more than phone calls to
 the PSAP. In addition to voice calls, the NGCS system supports video and text calls, and
 all calls are delivered with the device's location attached. Whatever the call type, other
 information about the call, the caller, or the caller's location (called additional data) can

² https://sites.atis.org/insights/new-atis-standard-specifies-mobile-device-behavior-real-time-texting/

04/21/2020



Page 48 of 56

¹ <u>https://www.fcc.gov/news-events/blog/2013/11/19/ip-transition-starting-now</u> (Retrieved on November 15, 2013)

1200 be delivered with, or during, the call. Examples would be a caller's medical data, or 1201 information about a building the caller is in, or near.

1202 Two kinds of text calls are supported. One is RTT, which is delivered a character at a 1203 time, like TTY. RTT will replace TTY over time. The other kind of text call uses MSRP. MSRP delivers a whole message at a time, like traditional chat services, and can support 1204 multiple parties in a conversation. In NG9-1-1, SMS text messages are converted to 1205 1206 MSRP by the service providers TCC and delivered to the ESInet.

1207 Another type of call that the NGCS can deliver is referred to as a non-human-initiated call. This is a data-only call from some device or application. One example would be an 1208 automatic crash notification message sent by a vehicle. Another example would be a 1209 nuclear, biological, or chemical sensor. In the Internet of Things world that is evolving, 1210 there will likely be many sources of non-human-initiated calls that can alert a PSAP of 1211 an emergency without the help of a citizen. As service providers migrate to pure IP-1212 1213 based services, they will deliver more and more of the rich data that the NGCS supports, providing both the public and PSAPs with more enhanced emergency services. 1214 The transition will happen over a period of years, gradually for some technologies, and 1215 1216 in leaps and bounds for other technologies. Public demand, funding, service providers, and governance and regulatory issues will all play a role in determining how, and how 1217 fast, NG9-1-1 evolves. 1218

C. FirstNet and its relationship to 9-1-1 1219

Signed into law on February 22, 2012, the Middle Class Tax Relief and Job Creation Act 1220 1221 created the First Responder Network Authority (FirstNet). The law gives FirstNet the 1222 mission to build, operate and maintain the first high-speed, nationwide wireless broadband network dedicated to public safety. FirstNet will provide a single 1223

- interoperable platform for emergency and daily public safety communications.³ 1224
- This broadband network will fulfill a fundamental need of the public safety community 1225
- as well as implement the last remaining recommendation of the 9/11 Commission. 1226
- 1227 FirstNet will bring 21st century tools to millions of organizations and individuals that
- 1228 respond to emergencies at the local, state, tribal, and federal levels.

04/21/2020





³ About FirstNet https://www.firstnet.gov/

1229 Congress established FirstNet as an independent government authority with a mandate

to provide specialized communication services for public safety. Using nationwide 700

1231 MHz spectrum, FirstNet may put an end to decades-long interoperability and

1232 communications challenges and help keep our communities and emergency responders1233 safer.

The construction of the Nationwide Public Safety Broadband Network (NPSBN) by 1234 FirstNet will result in an IP network over which public safety responders will be able to 1235 access mission critical data, such as real-time video, pictures, documents, etc. Since the 1236 1237 network will be dedicated to public safety, public safety will have priority and preemptive capabilities, applications may be developed to take advantage of the dedicated 1238 bandwidth to allow public safety to access data. Currently, first responders are unable 1239 to access next generation data due to the limitations of using commercial networks and 1240 sharing that bandwidth with commercial users. The NPSBN will create tremendous 1241 opportunities to enhance the abilities of first responders to perform their life-saving 1242

- missions.
- 1244 The opportunity exists for states to identify synergies between the two networks
- 1245 (NPSBN and ESInets) and how they may interface with each other to share data in
- some cases, where common assets may be utilized by both networks. State and
- regional leaders of NG9-1-1 implementation should be actively engaged in the planning
- 1248 and execution of their state's FirstNet initiatives to advocate for the integration of the
- 1249 two networks. With this type of coordination, the state's ESInet may converge with the
- 1250 NPSBN to form a new IP based broadband communications platform to serve public
- **1251** safety from end to end.

1252 NGCS and ESInets will allow citizens to provide not only voice and text to 9-1-1, but 1253 also other forms of data such as pictures and video. NG9-1-1 data will be delivered to the ESInet from the **carrier's** commercial network, processed by the NGCS and delivered 1254 to the PSAP via the ESInet. The PSAP will then be able to disseminate the data to first 1255 responders via the NPSBN. First responders may then be able to receive data from an 1256 incident in real time from citizens on scene, prior to their arrival, thus providing first 1257 1258 responders with situational awareness never previously experienced. Photographs from 1259 a scene will allow PSAPs to coordinate the appropriate resources for responding to the incident, instead of reacting after responders arrive on scene. This functionality will 1260 provide first responders with the best information available to determine the most 1261 appropriate approach, such as the capability to launch pre-arrival tactics. 1262

04/21/2020



Page 50 of 56

1263 Appendix A: Glossary

1264 See NENA-ADM-000, NENA Master Glossary of 9-1-1 Terminology, located on the <u>NENA</u>

1265 web site for a complete listing of terms used in NENA documents. All abbreviations used

in this document are listed below, along with any new or updated terms and definitions.

Term or Abbreviation (Expansion)	Definition / Description
ASD (Acute Stress Disorder)	ASD refers to clinically significant (causing significant distress or impairment in social, occupational, or other important areas of functioning) symptoms more than two days but less than one month after exposure to a trauma, as defined above (may progress to PTSD if symptoms last more than one month).
FCC (Federal Communications Commission)	An independent U.S. government agency overseen by Congress, the Federal Communications Commission regulates interstate and international communications by radio, television, wire, satellite and cable in all 50 states, the District of Columbia and U.S. territories.
i3 PSAP (i3 Public Safety Answering Point)	A PSAP that is capable of receiving IP-based signaling for delivery of emergency calls and for originating calls and is conformant to NENA specifications for such PSAPs.
Virtual PSAP	An operational model directly enabled through NG9-1-1 features and/or network hosted PSAP equipment in which telecommunicators are geographically dispersed, rather than working from the same physical location. Remote access to the PSAP applications by the dispersed telecommunicators requires the appropriate network connections, security, and work station equipment at the remote location. Unified communications applications supporting voice, data, instant messaging, and video communications between telecommunicators may be used to enable the telecommunicators to work cooperatively from diverse locations. The virtual work place may be a logical combination of physical PSAPs, or an alternate work environment such as a satellite facility, or any combination of the above. Workers are connected and interoperate via IP connectivity.

04/21/2020



Page 51 of 56

1267 1268	Appendix B: Recommended Reading and References	
1269 1270 1271	[1]	National Emergency Number Association. <i>Intellectual Property Rights Policy</i> . <u>www.nena.org/ipr</u> .
1271 1272 1273 1274 1275	[2]	National Emergency Number Association. <i>Master Glossary of 9-1-1 Terminology</i> . <u>NENA-ADM-000.23-2020</u> , approved January 20, 2020. <u>www.nena.org/page/Glossary</u>
1275 1276 1277 1278 1279	[3]	National Emergency Number Association. <i>NG9-1-1 PSAP Requirements Document</i> , <u>NENA-REQ-001.1.2-2018</u> , approved June 10, 2018. <u>https://www.nena.org/?page=NG911_PSAP_REQ</u>
1280 1281 1282	[4]	National Emergency Number Association. <i>Detailed Functional and Interface Standards for the NENA i3 Solution</i> , <u>NENA-STA-010.2-2016</u> , approved September 10, 2016. <u>https://www.nena.org/?page=i3_Stage3</u>
1283 1284 1285 1286	[5]	National Emergency Number Association. <i>Virtual PSAP Management Information Document</i> , <u>NENA-INF-025.2-2017</u> , approved December 21, 2017. <u>https://www.nena.org/?page=VirtualPSAP_Standard</u>
1287 1288 1289	[6]	Recommended Minimum Training Guidelines for the Telecommunicator. Published May 19, 2016. <u>https://www.nena.org/page/trainingguidelines</u>
1290 1291 1292 1293	[7]	National Emergency Number Association. <i>Mutual Aid Standard/Model Recommendation</i> , <u>NENA-STA-009.2-2015</u> , approved May 7, 2015. <u>https://www.nena.org/?page=MutualAidStandard</u>
1294 1295 1296 1297	[8]	National Emergency Number Association. <i>PSAP Staffing Guidelines Report and Staffing Worksheet</i> , <u>NENA-REF-001-2003</u> , approved August 1, 2003. <u>https://www.nena.org/?page=PSAP_StaffingGuide</u>
1298 1299 1300 1301	[9]	National Emergency Number Association. <i>Resource List for Human Resources</i> <i>Information</i> , <u>NENA-REF-004.3-2017</u> , approved May 30. 2017. <u>https://www.nena.org/?page=HR_ResourceList</u>
1302 1303 1304 1305 1306	[10]	National Emergency Number Association. <i>9-1-1 Acute/Traumatic and Chronic Stress Management</i> , <u>NENA-STA.002.1-2013</u> , approved August 5, 2013. <u>https://www.nena.org/?page=StressManagement</u>
1300	04/21/2020 Page 52 c	



- 1307 [11] National Emergency Number Association. NENA Next Generation United States
 1308 Civic Location Data Exchange Format (CLDXF), <u>NENA-STA-004.1-2014</u>, approved
 1309 March 23, 2014. <u>https://www.nena.org/?NG911CLDXF</u>
 1310
- 1311 [12] National Emergency Number Association. *Emergency Services IP Network Design* 1312 *Information Document (ESInet)*, <u>NENA-INF-016.2-2018</u>, approved April 5, 2018.
 1313 <u>https://www.nena.org/?IP_Network_NG911</u>
- 1315 [13] National Emergency Number Association. *Standards for the Provisioning and* 1316 *Maintenance of GIS data to ECRF and LVFs*, <u>NENA-STA-005.1.1-2017</u>, approved
 1317 August 10, 2017. <u>https://www.nena.org/?page=ProvGISECRFLVF</u>
- 1319 [14] National Emergency Number Association. Next Generation 9-1-1 Data
 1320 Management Requirements, <u>NENA-REQ-002.1-2016</u>, approved March 10, 2016.
 1321 <u>https://www.nena.org/?page=NGDataMgmt</u>
- 1323 [15] National Emergency Number Association. Security for Next Generation 9-1-1
 1324 Standard, <u>75-001</u>, approved February 6, 2010.
 1325 <u>https://www.nena.org/?page=NG911_Security</u>
- 1327 [16] Federal Communications Commission. <u>FCC 16-169</u> Petition for Rulemaking to
 1328 Update the Commission's Rules for Access to Support the Transition from TTY to
 1329 Real-Time Text Technology, and Petition for Waiver of Rules Requiring Support
 1330 of TTY Technology <u>https://docs.fcc.gov/public/attachments/FCC-16-169A1.pdf</u>
- 1332[17]Federal Communications Commission. FCC The IP Transition: Starting Now -1333www.fcc.gov/news-events/blog/2013/11/19/ip-transition-starting-now
- 1335 [18] Alliance for Telecommunication Industry Solutions. New ATIS Standard Specifies
 1336 Mobile Device Behavior for Real-Time Texting. <u>https://sites.atis.org/insights/new-</u>
 1337 atis-standard-specifies-mobile-device-behavior-real-time-texting/
- 1339 [19] First Responder Network Authority (FirstNet) <u>www.firstnet.gov/</u>
- 1341 [20] Internet Engineering Task Force. *SIP: Session Initiation Protocol*, J. Rosenberg et al., <u>RFC 3261</u>, June 2002.
- 1344 [21] Internet Engineering Task Force. *Hypertext Transfer Protocol (HTTP/1.1):* 1345 *Message Syntax and Routing*, <u>RFC 7230</u>, June 2014.

1346

1314

1318

1322

1326

1331

1334

1338

1340

1343

04/21/2020



Page 53 of 56

- 1347 [22] Internet Engineering Task Force. A Location Dereferencing Protocol Using HTTP-1348 Enabled Location Delivery (HELD), J. Winterbottom et al., Internet Engineering 1349 Task Force, <u>RFC 6753</u>, October 2012.
 1350
- 1351 [23] Internet Engineering Task Force. LoST: A Location-to-Service Translation
 1352 Protocol, T. Hardie et al., Internet Engineering Task Force, <u>RFC 5222</u>, August 2008
- 1355 [24] J-STD-110: Joint ATIS/TIA Native SMS to 911 Requirements & Architecture Specification, or J-STD-110.01: Joint ATIS/TIA Implementation Guideline for J-1356 STD-110, Joint ATIS/TIA Native SMS to 911 Requirements and Architecture 1357 Specification, or J-STD-110.a: Joint ATIS/TIA Supplement A to J-STD-110, Joint 1358 ATIS/TIA Native SMS to 911 Requirements and Architecture Specification 1359 1360 [25] Federal Communications Commission. Emergency Access Advisory Committee 1361 TTY Transition Report. 1362
- 1363 <u>https://www.fcc.gov/general/emergency-access-advisory-committee-eaac</u>
- 1364

1354

1365

04/21/2020



Page 54 of 56

1366 ACKNOWLEDGEMENTS

1367 The National Emergency Number Association (NENA) 9-1-1 Education Topics Working1368 Group developed this document.

1369 NENA Development Steering Council Approval Date: 04/21/2020

1370 NENA recognizes the following industry experts and their employers for their

1371 contributions to the development of this document.

Members	Employer
Sonya Clauson, ENP, Communications Committee Co-Chair & Note Taker	Greater Harris County 9-1-1, TX
Sandy Beitel, ENP, Communications Committee Co-Chair	Ogle County, IL
Sherri Griffith Powell, ENP, Working Group Chair	Mission Critical Partners LLC
Mathew Brown	Morgan County TN
Eric Perry, ENP	NGA 911 Corporation
Jill Rohret	Metropolitan Emergency Services Board, MN
Diana Gijselaers	Motorola Solutions Inc.
Bart Blackmon	Houston County AL
Jared Pelham, ENP	Hamilton County TN
Glenna Johnson	DeKalb County IL
Gina Wilson, ENP	Motorola Solutions Inc.
Marty Bausano, ENP	Motorola Solutions Inc.
Bob Woodhall, ENP	Pinal County AZ
Laurie Anderson, ENP	Charlotte County FL
Allen Muse, ENP	AT&T
Jason Meyer, ENP	Metro Communications Agency, SD

04/21/2020

Page 55 of 56



Members	Employer
Marc Berryman, ENP	Mission Critical Partners LLC
Roger Marshall	Comtech Telecommunications Corporation

1372

1373 Special Acknowledgements:

1374 Delaine Arnold, ENP, Committee Resource Manager, has facilitated the production of1375 this document through the prescribed approval process.

1376 The working group would like to give special recognition to the following individuals for

1377 their contributions to the document: Dave Sehnert, ENP, and Scott Neil, Mission Critical

- 1378 Partners; Michael Smith, DSS Corporation; Tom Breen, ENP, Comtech; Brian Knueppel,1379 Oracle.
- 1380 The NG9-1-1 Education and Training Working Group is part of the NENA Development1381 Group that is led by:
- Pete Eggimann, ENP, and Jim Shepard, ENP, Development Steering Council Co-Chairs
- Brandon Abley, ENP, Technical Issues Director
- April Heinze, ENP, 9-1-1 & PSAP Operations Director
- Roger Hixson, ENP, Senior 9-1-1 Consultant

04/21/2020



Page 56 of 56